IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: CHANG, et al.
Serial No. 09/814,403
Filed: March 22, 2001
For: WATER-DISPERSIBLE, CATIONIC POLYMERS, A METHOD OF MAKING SAME AND ITEMS USING SAME

Art Unit: 1713
Examiner: Donald R. Wilson

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

The citation of information on the attached Form PTO/SB/08A, "List of Art Cited by Applicant" is made pursuant to 37 C.F.R. §§ 1.97 and 1.98. A copy of each cited item is enclosed unless stated otherwise hereinbelow.

Certificate

It is hereby certified, under Rule 97(e)(1), that each item of information contained in the accompanying Supplemental Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner for Patents, Washington, DC, 20231 on March 13, 2003.

Robert E. Richards - Reg. No. 29,105
not more than three months prior to the filing of the statement. A copy of the Written Opinion is also attached. Any references listed in the Written Opinion but not included in the attached PTO/SB/08A have been previously submitted.

The citation of this information does not constitute an admission that any of the materials are available as a reference or of priority, or a waiver of any right applicant may have under applicable statutes, Rules of Practice in patent cases, or otherwise.

Respectfully submitted,

By: Robert E. Richards
Reg. No. 29,105

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Our Docket: 44040-256047 (11302-1190)
Table: U.S. Patent Documents

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<td>4,356,229</td>
<td>Brodnyan et al</td>
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Table: FOREIGN PATENT DOCUMENTS

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<tr>
<th>Examiner</th>
<th>Cite No.</th>
<th>Foreign Patent Document</th>
<th>Kind Code (if known)</th>
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Table: OTHER INFORMATION - NON PATENT LITERATURE DOCUMENTS

| Examiner | Cite No. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published | |
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|          |         |                                                                                                                                                                                                | |

1. Unique citation designation number. 2. See attached Kinds of U.S. Patent Documents. 3. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4. For Japanese patent document, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. 6. Applicant is to place a check mark here if English language translation is attached.